

# Problem Set 1

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Due: 9/24, 11:59pm.

Please typeset your solutions in LaTeX.

**Problem 1** (SoS proofs beyond eigenvalues). We saw in lecture that if  $M \in \mathbb{R}^{n \times n}$  is a symmetric matrix with maximum eigenvalue  $\lambda_{max}$ , then there is always a degree-2 SoS proof that  $x^T M x \leq \lambda_{max} \cdot \|x\|^2$  – that is, the polynomial  $\lambda_{max} \cdot \|x\|^2 - x^T M x$  is a sum of squares.

1. Show that this bound is tight, in the sense that if  $c$  is such that  $c\|x\|^2 - x^T M x$  is a sum of squares, then  $c \geq \lambda_{max}$ .
2. Construct a symmetric matrix  $M$  such that there exists  $c < \lambda_{max}(M)$  and linear functions  $f_1, \dots, f_m$  such that  $c \cdot \|x\|^2 - x^T M x = \sum_{i \leq m} f_i(x)^2$  for every  $x \in \{\pm 1\}^n$ . This shows that the flexibility in a quadratic proof to use a sum of squares polynomial which is equal to  $c\|x\|^2 - x^T M x$  only for certain  $x$ s (namely,  $x \in \{\pm 1\}^n$ ) makes the definition more powerful.

**Problem 2** (Max cut in almost-bipartite graphs). Show that there is a polynomial-time algorithm with the following guarantee: given a graph  $G = (V, E)$  such that there is a cut which cuts  $(1 - \varepsilon)|E|$  edges, the algorithm outputs a cut which cuts  $(1 - \tilde{O}(\sqrt{\varepsilon}))|E|$  edges. ( $\tilde{O}$  can hide factors of  $\log(1/\varepsilon)$ , though this is not strictly necessary.)

You may use the following basic anticoncentration fact for Gaussians: if  $Z \sim N(0, 1)$ , then  $Pr(|Z| \leq \delta) = O(\delta)$ .

**Problem 3** (Cauchy-Schwarz for Pseudoexpectations). An important fact about any probability distribution  $\mu$  is that for any real-valued  $f$  and  $g$ ,  $\mathbb{E}_{x \sim \mu} f(x)g(x) \leq \sqrt{\mathbb{E}f(x)^2} \cdot \sqrt{\mathbb{E}g(x)^2}$ . Show that if  $\tilde{\mathbb{E}}$  is a (degree 2) pseudoexpectation and  $f, g$  are linear functions, one has  $\tilde{\mathbb{E}}f(x)g(x) \leq \sqrt{\tilde{\mathbb{E}}f(x)^2} \cdot \sqrt{\tilde{\mathbb{E}}g(x)^2}$ .

**Problem 4** (Max cut on the triangle). The three-edge triangle graph has a max-cut value of 2. We saw in class that there is a quadratic proof that the maximum cut is at most 2.9. Is there a quadratic proof that the max-cut value is at most 2.00001? (Prove the correctness of your answer.)